



Filing ID #10019957

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Vickie Yates Brown Glisson  
**Status:** Congressional Candidate  
**State/District:** KY03

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2018  
**Filing Date:** 04/20/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset   | Owner | Value of Asset        | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|---|-------|-----------------------|--------------------------|-------------------------------|-----------------------|
| Apple Inc. (AAPL) [ST]  | SP    | \$100,001 - \$250,000 | Capital Gains, Dividends | \$2,501 - \$5,000             | \$2,501 - \$5,000     |
| Baxter International Inc. (BAX) [ST]                                      | SP    | \$1 - \$1,000         | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| Cash at Fidelity Brokerage Services [BA]                                  | SP    | \$250,001 - \$500,000 | Interest                 | \$201 - \$1,000               | \$201 - \$1,000       |
| Eclipse Bank [PS]<br>DESCRIPTION: Cost of investment in Eclipse Bank      |       | \$15,001 - \$50,000   | None                     |                               |                       |
| Enterprise Angels Community Fund I, LLC ⇒ EDJ Analytics, 1% Interest [HE] | SP    | \$1,001 - \$15,000    | Partnership Income       | None                          | None                  |
| Enterprise Angels Community Fund I, LLC ⇒ EduMedics, 1% Interest [HE]     | SP    | \$1,001 - \$15,000    | Partnership Income       | None                          | None                  |
| Enterprise Angels Community Fund I, LLC [OL]                              |       | \$15,001 - \$50,000   | Partnership Income       | None                          | None                  |
| LOCATION: Louisville/ Jefferson, KY, US                                   |       |                       |                          |                               |                       |

| Asset  | Owner | Value of Asset          | Income Type(s)     | Income Current Year to Filing | Income Preceding Year |
|--|-------|-------------------------|--------------------|-------------------------------|-----------------------|
| DESCRIPTION: Investment company.   |       |                         |                    |                               |                       |
| Enterprise Angels Community Fund I, LLC ⇒ First Care Holdings, LLC, 1% Interest [HE]                                       | SP    | \$1,001 - \$15,000      | Partnership Income | None                          | None                  |
| Enterprise Angels Community Fund I, LLC ⇒ Gun Media Holdings, LLC, 1% Interest [HE]  | SP    | \$1,001 - \$15,000      | Partnership Income | \$15,001 - \$50,000           | None                  |
| Enterprise Angels Community Fund I, LLC ⇒ Hera Testing Laboratories, Inc., 1% Interest [HE]                                | SP    | \$1,001 - \$15,000      | Partnership Income | None                          | None                  |
| Enterprise Angels Community Fund I, LLC ⇒ Hippo Manager, 1% Interest [HE]  | SP    | \$1,001 - \$15,000      | Partnership Income | None                          | None                  |
| Enterprise Angels Community Fund I, LLC ⇒ iReportSource, 1% Interest [HE]  | SP    | \$1,001 - \$15,000      | Partnership Income | None                          | None                  |
| Enterprise Angels Community Fund I, LLC ⇒ Liberate Medical, Inc., 1% Interest [HE]   | SP    | \$1,001 - \$15,000      | Partnership Income | None                          | None                  |
| Enterprise Angels Community Fund I, LLC ⇒ Onovative, LLC, 1% Interest [HE]   | SP    | \$1,001 - \$15,000      | Partnership Income | None                          | None                  |
| Enterprise Angels Community Fund I, LLC ⇒ Schedule It, LLC, 1% Interest [HE]   | SP    | \$1,001 - \$15,000      | Partnership Income | None                          | None                  |
| Enterprise Angels Community Fund I, LLC ⇒ Sunstrand, 1% Interest [HE]  | SP    | \$1,001 - \$15,000      | Partnership Income | None                          | None                  |
| Farm [FA]  |       | \$500,001 - \$1,000,000 | Soybeans           | \$5,001 - \$15,000            | \$5,001 - \$15,000    |
| LOCATION: Spencer County, KY, US<br>DESCRIPTION: Approximately 100 acres. Soybeans and corn.                               |       |                         |                    |                               |                       |
| Fifth Third Bank [BA]  |       | \$15,001 - \$50,000     | Interest           | \$1 - \$200                   | \$1 - \$200           |
| Hadrotes Property CPC No. 5, LLC [OL]  | JT    | \$250,001 - \$500,000   | Rent               | None                          | None                  |
| LOCATION: Louisville/ Jefferosn, KY, US<br>DESCRIPTION: Rental of house located at 805 Oxmoor Woods Drive, Louisville, KY. |       |                         |                    |                               |                       |
| Investments in Closely Held Businesses ⇒ Hadrotes Property CPC No. 2, LLC [OL]   | JT    | \$1,001 - \$15,000      | Partnership Income | \$2,501 - \$5,000             | \$2,501 - \$5,000     |
| LOCATION: Louisville/ Jefferson, KY, US  |       |                         |                    |                               |                       |

| Asset  | Owner | Value of Asset            | Income Type(s)                     | Income Current Year to Filing | Income Preceding Year |
|--|-------|---------------------------|------------------------------------|-------------------------------|-----------------------|
| DESCRIPTION: 7% owner of Corydon Medical Office Building Partners, LLC located in Corydon, IN. Rental income from office building was \$1k - \$15k to Hadrotes Properties CPC, No. 2, LLC                  |       |                           |                                    |                               |                       |
| Investments in Closely Held Businesses ⇒ Hadrotes Property Series, LLC [OL]  | JT    | \$100,001 - \$250,000     | Partnership Income                 | \$15,001 - \$50,000           | \$15,001 - \$50,000   |
| LOCATION: Louisville/ Jefferson, KY, US<br>DESCRIPTION: Consulting services, investment holdings (reported as a sub holding) and sale of crops. Sale of crops income reported on this form under the farm. |       |                           |                                    |                               |                       |
| Investments in Closely Held Businesses ⇒ Silo Farms, LLC [OL]  | JT    | \$1 - \$1,000             | Partnership Income                 | None                          | \$201 - \$1,000       |
| LOCATION: Taylorsville, KY, US<br>DESCRIPTION: Interest in farming activities.   |       |                           |                                    |                               |                       |
| Investments in Closely Held Businesses ⇒ Western District, LLC, 5% Interest [OL]   | JT    | \$250,001 - \$500,000     | Partnership Income                 | \$15,001 - \$50,000           | \$50,001 - \$100,000  |
| LOCATION: Louisville/ Jefferson, KY, US<br>DESCRIPTION: Industrial rental real estate at 4340, 4341, 4343 Sanita Court, Louisville, KY   |       |                           |                                    |                               |                       |
| Investments in Closely Held Businesses ⇒ Hadrotes Property Series, LLC ⇒ Amgen Inc. (AMGN) [ST]  | JT    | \$50,001 - \$100,000      | Capital Gains, Dividends           | \$2,501 - \$5,000             | \$2,501 - \$5,000     |
| Investments in Closely Held Businesses ⇒ Hadrotes Property Series, LLC ⇒ AT&T Inc. (T) [ST]  | JT    | \$250,001 - \$500,000     | Capital Gains, Dividends           | \$2,501 - \$5,000             | \$2,501 - \$5,000     |
| Investments in Closely Held Businesses ⇒ Hadrotes Property Series, LLC ⇒ DowDuPont Inc. (DWDP) [ST]  | JT    | \$100,001 - \$250,000     | Capital Gains, Dividends           | \$2,501 - \$5,000             | \$2,501 - \$5,000     |
| Investments in Closely Held Businesses ⇒ Hadrotes Property Series, LLC ⇒ Fidelity Government Money Market [MF]   | JT    | \$1,000,001 - \$5,000,000 | Capital Gains, Dividends, Interest | \$15,001 - \$50,000           | \$15,001 - \$50,000   |
| Investments in Closely Held Businesses ⇒ Hadrotes Property Series, LLC ⇒ Humana Inc. (HUM) [ST]  | JT    | \$250,001 - \$500,000     | Capital Gains                      | None                          | None                  |
| Investments in Closely Held Businesses ⇒ Hadrotes Property Series, LLC ⇒ Kroger Company (KR) [ST]  | JT    | \$15,001 - \$50,000       | Capital Gains, Dividends           | \$201 - \$1,000               | \$201 - \$1,000       |
| Investments in Closely Held Businesses ⇒ Hadrotes Property Series, LLC ⇒ Twenty-First Century Fox, Inc. - Class A (FOXA) [ST]  | JT    | \$15,001 - \$50,000       | Capital Gains                      | None                          | None                  |

| Asset   | Owner | Value of Asset        | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|---|-------|-----------------------|--------------------------|-------------------------------|-----------------------|
| Investments in Closely Held Businesses ⇒ Hadrotes Property Series, LLC ⇒ Walt Disney Company (DIS) [ST] | JT    | \$100,001 - \$250,000 | Capital Gains, Dividends | None                          | None                  |
| Investments in Retirement and Other Accounts ⇒ Alcoa Corporation (AA) [ST]                              |       | \$1,001 - \$15,000    | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Alphabet Inc. - Class A (GOOGL) [ST]                     |       | \$50,001 - \$100,000  | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Altria Group, Inc. (MO) [ST]                             |       | \$15,001 - \$50,000   | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Altria Group, Inc. (MO) [ST]                             |       | \$50,001 - \$100,000  | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Apple Inc. (AAPL) [ST]                                   |       | \$100,001 - \$250,000 | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Applied Materials, Inc. (AMAT) [ST]                      |       | \$15,001 - \$50,000   | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Arconic Inc. (ARNC) [ST]                                 |       | \$1,001 - \$15,000    | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒ AT&T Inc. (T) [ST]                                       |       | \$1,001 - \$15,000    | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Bank of New York Mellon Corporation (BK) [ST]            |       | \$15,001 - \$50,000   | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Boeing Company (BA) [ST]                                 |       | \$50,001 - \$100,000  | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Bristol-Myers Squibb Company (BMY) [ST]                  |       | \$15,001 - \$50,000   | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Brown Forman Inc Class A (BF.A) [ST]                     |       | \$15,001 - \$50,000   | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Brown Forman Inc Class A (BF.A) [ST]                     |       | \$100,001 - \$250,000 | Tax-Deferred             |                               |                       |

| Asset  | Owner | Value of Asset        | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|-----------------------|----------------|-------------------------------|-----------------------|
| Investments in Retirement and Other Accounts ⇒ Caterpillar, Inc. (CAT) [ST]                |       | \$15,001 - \$50,000   | Tax-Deferred   |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Chevron Corporation (CVX) [ST]              |       | \$15,001 - \$50,000   | Tax-Deferred   |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Chevron Corporation (CVX) [ST]              |       | \$50,001 - \$100,000  | Tax-Deferred   |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Cisco Systems, Inc. (CSCO) [ST]             |       | \$50,001 - \$100,000  | Tax-Deferred   |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Citigroup, Inc. (C) [ST]                    |       | \$1 - \$1,000         | Tax-Deferred   |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Coca-Cola Company (KO) [ST]                 |       | \$15,001 - \$50,000   | Tax-Deferred   |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Coca-Cola Company (KO) [ST]                 |       | \$15,001 - \$50,000   | Tax-Deferred   |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Comcast Corporation - Class A (CMCSA) [ST]  |       | \$1,001 - \$15,000    | Tax-Deferred   |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Corning Incorporated (GLW) [ST]             |       | \$1,001 - \$15,000    | Tax-Deferred   |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Dell Technologies Inc. Class V (DVMT) [ST]  |       | \$1,001 - \$15,000    | Tax-Deferred   |                               |                       |
| Investments in Retirement and Other Accounts ⇒ DXC Technology Company (DXC) [ST]           |       | \$1,001 - \$15,000    | Tax-Deferred   |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Eli Lilly and Company (LLY) [ST]            |       | \$100,001 - \$250,000 | Tax-Deferred   |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Eli Lilly and Company (LLY) [ST]            |       | \$15,001 - \$50,000   | Tax-Deferred   |                               |                       |
| Investments in Retirement and Other Accounts ⇒ Express Scripts Holding Company (ESRX) [ST] |       | \$1,001 - \$15,000    | Tax-Deferred   |                               |                       |
| Investments in Retirement and Other Accounts ⇒   |       | \$250,001 -           | Tax-Deferred   |                               |                       |

| <b>Asset</b>  | <b>Owner</b> | <b>Value of Asset</b> | <b>Income Type(s)</b> | <b>Income Current Year to Filing</b> | <b>Income Preceding Year</b> |
|---|--------------|-----------------------|-----------------------|--------------------------------------|------------------------------|
| Fidelity Government Cash Reserve [MF]   |              | \$500,000             |                       |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Fidelity Government Cash Reserves [MF]         |              | \$50,001 - \$100,000  | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Fidelity Government Cash Reserves [MF]         |              | \$15,001 - \$50,000   | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Ford Motor Company (F) [ST]                    |              | \$15,001 - \$50,000   | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Frontier Communications Corporation (FTR) [ST] |              | \$1 - \$1,000         | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ General Electric Company (GE) [ST]             |              | \$15,001 - \$50,000   | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ General Electric Company (GE) [ST]             |              | \$50,001 - \$100,000  | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Haemonetics Corporation (HAE) [ST]             |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Hewlett Packard Enterprise Company (HPE) [ST]  |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ HP Inc. (HPQ) [ST]                             |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Immunomedics, Inc. (IMMU) [ST]                 |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Intel Corporation (INTC) [ST]                  |              | \$50,001 - \$100,000  | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Intel Corporation (INTC) [ST]                  |              | \$15,001 - \$50,000   | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ J.M. Smucker Company (SJM) [ST]                |              | \$1 - \$1,000         | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Johnson & Johnson (JNJ) [ST]                   |              | \$15,001 - \$50,000   | Tax-Deferred          |                                      |                              |

| <b>Asset</b>   | <b>Owner</b> | <b>Value of Asset</b> | <b>Income Type(s)</b> | <b>Income Current Year to Filing</b> | <b>Income Preceding Year</b> |
|--|--------------|-----------------------|-----------------------|--------------------------------------|------------------------------|
| Investments in Retirement and Other Accounts ⇒ Johnson & Johnson (JNJ) [ST]                                      |              | \$15,001 - \$50,000   | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ JP Morgan Chase & Co. (JPM) [ST]                                  |              | \$50,001 - \$100,000  | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Keryx Biopharmaceuticals, Inc. (KERX) [ST]                        |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ McDonald's Corporation (MCD) [ST]                                 |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]                     |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Micro Focus Intl PLC ADS each representing One Ord Sh (MFGP) [ST] |              | \$1 - \$1,000         | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Microsoft Corporation (MSFT) [ST]                                 |              | \$50,001 - \$100,000  | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST]                |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST]                |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Myriad Genetics, Inc. (MYGN) [ST]                                 |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ News Corporation - Class A (NWSA) [ST]                            |              | \$1 - \$1,000         | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Norfolk Southern Corporation (NSC) [ST]                           |              | \$50,001 - \$100,000  | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ NVIDIA Corporation (NVDA) [ST]                                    |              | \$50,001 - \$100,000  | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Oracle Corporation (ORCL) [ST]                                    |              | \$15,001 - \$50,000   | Tax-Deferred          |                                      |                              |

| <b>Asset</b>  | <b>Owner</b> | <b>Value of Asset</b> | <b>Income Type(s)</b> | <b>Income Current Year to Filing</b> | <b>Income Preceding Year</b> |
|---|--------------|-----------------------|-----------------------|--------------------------------------|------------------------------|
| Investments in Retirement and Other Accounts ⇒ Pfizer, Inc. (PFE) [ST]                              |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Philip Morris International Inc (PM) [ST]            |              | \$50,001 - \$100,000  | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Philip Morris International Inc (PM) [ST]            |              | \$15,001 - \$50,000   | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Procter & Gamble Company (PG) [ST]                   |              | \$50,001 - \$100,000  | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Raytheon Company (RTN) [ST]                          |              | \$15,001 - \$50,000   | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Retirement 2020 Fund [MF]                            |              | \$15,001 - \$50,000   | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Sabra Health Care REIT, Inc. (SBRA) [ST]             |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Sanofi - Contingent Value Right (GCVRZ) [ST]         |              | \$1 - \$1,000         | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Sarossa PLC Ordinary Shares (AIOAF) [ST]             |              | \$1 - \$1,000         | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Spectrum Pharmaceuticals, Inc. (SPPI) [ST]           |              | \$15,001 - \$50,000   | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Spectrum Pharmaceuticals, Inc. (SPPI) [ST]           |              | \$15,001 - \$50,000   | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ The Goodyear Tire & Rubber Company (GT) [ST]         |              | \$1 - \$1,000         | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ The Kraft Heinz Company (KHC) [ST]                   |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ The Kraft Heinz Company (KHC) [ST]                   |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |
| Investments in Retirement and Other Accounts ⇒ Twenty-First Century Fox, Inc. - Class A (FOXA) [ST] |              | \$1,001 - \$15,000    | Tax-Deferred          |                                      |                              |



| Asset   | Owner | Value of Asset        | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|---|-------|-----------------------|--------------------------|-------------------------------|-----------------------|
| Investments in Retirement and Other Accounts ⇒<br>Ventas, Inc. (VTR) [ST]   |       | \$15,001 - \$50,000   | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒<br>Verizon Communications Inc. (VZ) [ST]   |       | \$50,001 - \$100,000  | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒<br>Walt Disney Company (DIS) [ST]  |       | \$15,001 - \$50,000   | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒<br>Yum China Holdings, Inc. (YUMC) [ST]  |       | \$1,001 - \$15,000    | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒<br>Yum! Brands, Inc. (YUM) [ST]  |       | \$1,001 - \$15,000    | Tax-Deferred             |                               |                       |
| Investments in Retirement and Other Accounts ⇒<br>Zimmer Biomet Holdings, Inc. (ZBH) [ST]   |       | \$1,001 - \$15,000    | Tax-Deferred             |                               |                       |
| Kleron Technology [OL]  | JT    | None                  | C Corporation            | \$5,001 - \$15,000            | None                  |
| LOCATION: Louisville/ Jefferson, KY, US<br>DESCRIPTION: Develop hardware for electronic medical record retention and Consulting services. |       |                       |                          |                               |                       |
| PNC Bank [BA]   |       | \$100,001 - \$250,000 | Interest                 | \$1 - \$200                   | \$1 - \$200           |
| Porter Bancorp, Inc. [PS]   |       | \$15,001 - \$50,000   | None                     |                               |                       |
| DESCRIPTION: Cost of investment.  |       |                       |                          |                               |                       |
| Shire plc - American Depositary Shares, each representing three Ordinary Shares (SHPG) [ST]   | SP    | \$1 - \$1,000         | Capital Gains, Dividends | \$1 - \$200                   | None                  |
| Tesla, Inc. (TSLA) [ST]   | SP    | None                  | Capital Gains            | \$100,001 - \$1,000,000       | None                  |
| University of Louisville 403(b) Retirement Plan ⇒<br>Fidelity Cap Apprec K [MF]   |       | \$15,001 - \$50,000   | Tax-Deferred             |                               |                       |
| University of Louisville 403(b) Retirement Plan ⇒<br>Fidelity Govt Income [GS]  |       | \$100,001 - \$250,000 | Tax-Deferred             |                               |                       |
| University of Louisville 403(b) Retirement Plan ⇒   |       | \$100,001 -           | Tax-Deferred             |                               |                       |

| Asset   | Owner | Value of Asset        | Income Type(s)     | Income Current Year to Filing | Income Preceding Year |
|---|-------|-----------------------|--------------------|-------------------------------|-----------------------|
| Fidelity Magellan K [MF]  |       | \$250,000             |                    |                               |                       |
| University of Louisville 403(b) Retirement Plan ⇒ Fidelity Sel Biotech [MF]   |       | \$100,001 - \$250,000 | Tax-Deferred       |                               |                       |
| Western District, LLC ⇒ 1510 Capital, LLC, 2% Interest [OL]<br>LOCATION: Louisville/Jefferson, KY, US<br>DESCRIPTION: Start-up company                    |       | \$1,001 - \$15,000    | Partnership Income | \$2,501 - \$5,000             | None                  |
| Western District, LLC ⇒ Citadel Storage Partners I, LLC, 1% Interest [OL]<br>LOCATION: Louisville/Jefferson, KY, US<br>DESCRIPTION: Self-storage rentals  |       | \$1,001 - \$15,000    | Partnership Income | \$2,501 - \$5,000             | \$2,501 - \$5,000     |
| Western District, LLC ⇒ Citadel Storage Partners II, LLC, 1% Interest [OL]<br>LOCATION: Louisville/Jefferson, KY, US<br>DESCRIPTION: Self-storage rentals |       | \$1,001 - \$15,000    | Partnership Income | \$2,501 - \$5,000             | \$2,501 - \$5,000     |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

| Source                  | Type  | Amount Current Year to Filing | Amount Preceding Year |
|-------------------------|---|-------------------------------|-----------------------|
| Norton Healthcare       | Spouse Salary                                 | N/A                           | N/A                   |
| Office of the Secretary | Salary  | \$160,537.00                  | \$160,537.00          |
| Western District, LLC   | Board Membership                              | N/A                           | \$750.00              |
| Fidelity Investments    | Spouse distribution from a retirement account | N/A                           | N/A                   |

### SCHEDULE D: LIABILITIES

None disclosed.

### SCHEDULE E: POSITIONS

| Position | Name of Organization  |
|----------|-----------------------|
| Director | Western District, LLC |

| Position                 | Name of Organization                 |
|--------------------------|--------------------------------------|
| Observer                 | Greater Louisville, Inc.             |
| Board Member             | Frazier International History Museum |
| Board Member             | KET Commonwealth Fund                |
| University of Louisville | Employee                             |
| Secretary                | The Robert Yates Real Estate, Inc.   |

## SCHEDULE F: AGREEMENTS

| Date       | Parties To                          | Terms of Agreement   |
|------------|-------------------------------------|--|
| March 2016 | University of Louisville and Vickie | Arrangement to have a position at the University of Louisville |

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- Enterprise Angels Community Fund I, LLC (1% Interest) (Owner: SP)  
DESCRIPTION: Investment Partnersip
- Investments in Closely Held Businesses (Owner: JT)  
LOCATION: US
- Investments in Closely Held Businesses ⇒ Hadrotes Property Series, LLC (Owner: JT)  
LOCATION: Louisville/ Jefferson, KY, US
- Investments in Retirement and Other Accounts
- University of Louisville 403(b) Retirement Plan
- Western District, LLC  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the

best of my knowledge and belief.

**Digitally Signed:** Vickie Yates Brown Glisson , 04/20/2018